

**APPENDIX 2 - Details of waivers approved in the period 1 October 2020 to 31 March 2021 (Q3 and 4 2020/21)**

<b>Contract title</b>	<b>Value £000</b>	<b>Directorate</b>	<b>Details of the waiver</b>	<b>Long term action plan</b>
Mainland care placement x 3	189	Adult social care & community well-being	The council has a well-established network of contracts across the Island but not for ad hoc mainland placements therefore the service utilised the waiver process as a route to award the contracts.	The frequency of mainland placements is being monitored. It is felt at present that they aren't frequent enough to justify a full open market competitive procurement process as the work required to do this is disproportionate to the need for these services.
IMCA/IMHA and General Advocacy Service for Vulnerable Adults	178	Adult social care & community well-being	This service fulfils the IW Council's statutory duties as set out under the Mental Capacity Act 2005, The Mental Health Act 2007 and Care Act 2014, for the provision of advocacy support for qualifying vulnerable adults.	The new contract for these services has been awarded following an open market competitive procurement process.
NHS continuing healthcare assessment and support services	47	Adult social care & community well-being	The COVID backlog and the deadlines set by NHS England for catching up with the backlog of assessments created an urgency not of the council's own making and there was a need to engage with a supplier quickly. The supplier engaged to deliver the services was already familiar with the CHC service processes and the commissioning team felt that they would be best placed to mobilise quickly.	Longer term requirement will be tendered in the open market.
HMP adult social care services	78	Adult social care & community well-being	Due to an unsuccessful open market procurement, the waiver process was utilised to ensure continuity of service while the procurement process was rerun.	Open market competitive procurement process has commenced.
Permanent recruitment of adult mental health team group manager via agency	44	Adult social care & community well-being	Following an unsuccessful recruitment, there was a need to engage a specialist recruitment agency to assist with the recruitment campaign. A framework agreement was identified as a possible route to market but the timescales to run a	One off contract.

			further competition would have been too long.	
Community housing floating support framework	102	Adult social care & community well-being	Supporting people services have been subject to local authority cost efficiency discussions. Following a cabinet decision, a new competitive procurement process was mobilised to secure the services. In the interim, the service area sought a waiver to put in place short term contracts to continue the support while the procurement process was being undertaken.	The new contracts for these services have been awarded following an open market competitive procurement process.
4 units of supported accommodation	13	Adult social care & community well-being	The service area sought a waiver to continue with an existing contract for the units of accommodation to allow time to ascertain the local need, mobilise a new scheme and to consult with partners about integrating our commissioning for the cohort that utilises these units of accommodation.	Review of service requirements is being carried out.
Broadcare Solution licence agreement	38	Adult social care & community well-being	The council took over responsibility for this system in 2020 when the CHC service transferred from the CCG to the council. The waiver was sought to allow the council to enter into the contract with the system provider without having to go through an open market competitive procurement process.	Framework options are being explored for future contract requirements.
Residential/education placements for children and young people x 11	2,105	Children's services	<p>The children's services commissioning team utilise the waiver process as a route to commissioning a placement in the following situations:</p> <ul style="list-style-type: none"> <li>• When no suitable supplier can be commissioned through the framework agreement;</li> <li>• Where the placement is made at the request of the family/family legal representatives;</li> <li>• Where the council is responsible for paying fees due to the "belonging regulation".</li> </ul>	The suppliers have been approached to join the framework agreement.
Supply of polling booths	53	Corporate services	The polling booths were at the end of their lifespan and were not fit for purpose in providing Covid19 secure voting arrangements in polling stations on 6 May. The waiver	One off purchase.

			sought to waive the advertising requirement of contract standing orders, quotes were invited.	
Corporate access control system upgrade	45	Corporate services	The council has a security system, including scan pads and swipe-cards, installed by security doors throughout its property portfolio. The software used was found to be out of date and posed a high risk for building security. The waiver was sought to upgrade the system through the existing supplier.	One off purchase.
Banking services	75	Financial management	Historically this contract was awarded using a framework agreement. Commissioning option were explored for the re let of the contract, however, the previous framework agreement had expired. The work required to change the council's banking services would be significant and in the absence of a direct award route (framework agreement), the service area utilised the waiver process to award the contract.	Future commissioning options will be explored when current contract is up for renewal.
Floating bridge No. 6 – engineering support	38	Neighbourhoods	A specialist supplier was engaged to carry out an independent audit the maintenance of FB6. A number of companies were approached, and one quote was obtained.	One off contract.
Mechanical and engineer consultant - Branstone farm business units	42	Regeneration	The procurement was run as a low value quotation process. When quotes were received it was apparent that, with contingencies built in, the contract value could exceed the £25,000 threshold for the low value quotation process. Before proceeding, the service area sought a waiver of CSOs to be able to proceed with the contract award.	One off contract.
Branstone farm – shell and core delivery of brewery unit	N/A	Regeneration	The procurement process was conducted via open market competition. Contract standing orders sets a minimum tender period of 21 days. The waiver sought to reduce this timescale to 14 days.	One off contract.
Branstone business park – full design and tender support	41	Regeneration	The delivery of this project changed to a 2 phase delivery and a waiver was sought to engage with the supplier who had been involved in earlier stages of the project to ensure continuity.	One off contract.

Brewery and visitor centre – full design and tender support	86	Regeneration	The project comprised the full design to, and obtaining of, Building Regulation approvals, and preparation of tender documents and assessment of tender returns for the construction of a new brewery and visitor centre on part of the current branstone farm site, for occupation by Goddard's Brewery. Goddard's had previously commissioned the supplier directly themselves to carry out early design works. The council took over responsibility for the build and the commissioning officers sought the waiver to be able to continue to engage the supplier for continuity.	One off contract.
Reactive maintenance services	160	Regeneration	The waiver sought to extend the council's current building maintenance contract. Due to disruptions to the contract as a result of Covid19, the service area sought the waiver to continue to engage with the incumbent supplier while requirements were reviewed, and the procurement process was carried out.	New contract has been let via open market procurement process.
Contract building control officer	34	Regeneration	The service area were unable to appoint an agency worker via the council's agency contract. A waiver was sought to approach an agency outside of the corporate arrangement.	One off contract.
Vaccination hub - Westridge	80	Regeneration	Works to westridge were required urgently to enable the vaccination hub to open. The council approached its buildings maintenance contractor to quote for the works and a waiver was sought to enable the contract to be awarded within the timescales.	One off contract
CAIW - Community Led Housing	45	Regeneration	The contract was originally tendered in the open market. The services were to support the spending of approximately £1million of grant monies for local housing schemes. The contract was coming to an end and the full grant monies had not been spent. The waiver sought the continuation of the contract with the incumbent supplier to continue to support the scheme.	Contract will come to an end when grant monies have been spent.